# New York State Department of Environmental Conservation

(ARTICLE 15 PERMIT)

Contact: Terry Tyoe (315) 793-2746



### JOINT APPLICATION FORM

For Permits/Determinations to undertake activities affecting streams, waterways, waterbodies, wetlands, coastal areas and sources of water supply.



New York State You must separately apply for and obtain separate Permits/Determinations from each involved agency prior to proceeding with work. Please read all instructions.

US Army Corps of Engineers (USACE)

APPLICATIONS TO  1. NYS Department of Environmental Conservation		2. US Army Corps of Engineers			3. NYS Office		4. NYS Depart-
Check all permits that apply:  Stream Disturbance Excavation and Fill in Navigable Waters Docks, Moorings or Platforms Dams and Impoundment Structures A01 Water Quality Certification Freshwater Wetlands Tidal Wetlands  Coastal Erosion Management Wild, Scenic and Recreational Rivers Water Supply Long Island Well Aquatic Vegetation Control Aquatic Insect Control Fish Control Incidental Take of Endangered/Threatened Species		Check all permits that apply:  Section 404 Clean Water Act  Section 10 Rivers and Harbors Act  Nationwide Permit(s) - Identify Number(s):  Preconstruction Notification -  Y / N		General S  Check all pern apply:  State Own Under Wat Utility Easeme (pipelin condult cables, Mooring Platforr	ed Lands er ent ess, s, etc.)	ment of State  Check if this applies:  Coastal Consistency Concurrence	
$\square$ I am sending this application to this agency.		I am sending this application to this agency.		☐ I am sending this application to this agency.		☐ I am sending this application to this agency.	
5. Name of Applicant (use full name)  Mailing Address	☐ or ☐ ce	erator		6. Name of F. Applicant)  Mailing Address	Facility or Property Owner (If different than		er (If different than
		ID (If applicant individual):	Post Office City				
State Zip Code				State	Zip	Code	
Telephone (daytime) Email				Telephone (day	/time)	Email	
7. Contact/Agent Name	8. Proje	ect / Facility N	ame	F	Property Tax Map	Section /	Block / Lot Number
Company Name	Project L	ocation - Provid	e dire	ctions and distar	nces to roads, br	idges and	bodies of waters:
Mailing Address Street Add		dress, if applicable P		ost Office City		State Zip Code NY	
Post Office City Town / Vi		illage / City C		County			
				tream/Water Body Name			
		Coordinates: Enter NYTMs in kilomete		rs, OR Latitude/	Longitude		
Email NYTM-E		NYTM-N La		atitude	Loi	ngitude	
For Agency Use Only DEC Application Number: USACE Number:							

## JOINT APPLICATION FORM - PAGE 2 OF 2

Submit this completed page as part of your Application.

9. Project Description and Purpose: Pronecessary. Include: description of current be installed; type and quantity of mateordinary/mean high water) area of excave work methods and type of equipment timpacts; and where applicable, the phasis	t site conditions ar erials to be used ration or dredging to be used; pollu	nd how the site will be modified by (i.e., square ft of coverage and , volumes of material to be removition control methods and mitigal	the proposed project; str i cubic yds of fill materi yed and location of dredge tion activities proposed to	uctures and fill materials to al and/or structures below d material disposal or use;
Proposed Use: Private Public	Commercial	Proposed Start Date:	Estimated Completion Dat	۵۰
Has Work Begun on Project? ☐ Yes ☐	No If Yes, exp		Completion Dat	e.
Will Project Occupy Federal, State or Municipal Land? Yes No If Yes, please specify.				
	- 112 - 1 - 1 -			
10. List Previous Permit / Application Numbers (if any) and Dates:				
11. Will this project require additional Federal, State, or Local Permits including zoning changes?				
12. Signatures. If applicant is not the owner, both must sign the application.  I hereby affirm that information provided on this form and all attachments submitted herewith is true to the best of my knowledge and belief. False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law. Further, the applicant accepts full responsibility for all damage, direct or indirect, of whatever nature, and by whomever suffered, arising out of the project described herein and agrees to indemnify and save harmless the State from suits, actions, damages and costs of every name and description resulting from said project. In addition, Federal Law, 18 U.S.C., Section 1001 provides for a fine of not more than \$10,000 or imprisonment for not more than 5 years, or both where an applicant knowingly and willingly falsifies, conceals, or covers up a material fact; or knowingly makes or uses a false, fictitious or fraudulent statement.				
Signature of Applicant	Printed Name	Title		Date
Signature of Owner	Printed Name	Title		Date
Signature of Agent	Printed Name	Title		Date
For Agency Use Only	For Agency Use Only DETERMINATION OF NO PERMIT REQUIRED			
(Agency Name)		Agency Project Number determined that No Permit is requ application.	ired from this Agency for t	he project described in
gency Representative: Name (printed)TitleTitle				
Signature	Signature Date			

#### **JOINT APPLICATION FORM - INSTRUCTIONS**



Use this application to apply for Permits and Determinations from all of the listed state and federal agencies. This form is for all projects that affect streams, waterways, waterbodies, wetlands, coastal areas and sources of water supply.

US Army Corps of Engineers (USACE) New York District Buffalo District

#### **New York State**

Department of Environmental Conservation (DEC) Office of General Services (OGS) Department of State (DOS)

Type or print clearly in ink. This Form has 2 pages. Incomplete, illegible or inaccurate information may delay processing and a final decision on your application. Individual Agencies may request that you submit additional information to complete your application. If you have any questions, contact the Agencies or check the Agency websites listed on Page 2 for further information.

**PERMITS REQUESTED:** You are responsible for obtaining all federal, state or local permits or other approvals. Check all Permits/Determinations you are applying for from the listed Agencies.

You must obtain separate authorizations or determinations of no permit required from each Agency in accordance with their jurisdiction prior to initiation of work.

**APPLICANT / OWNER / CONTACT INFORMATION AND SIGNATURES:** Signatures of the Applicant, Owner and Agent, where applicable, are required.

Applications by a Corporation must be signed by a member of the board of directors or a "high managerial agent" of the corporation as that term is defined in the § 20.20 of the Penal Law; a Partnership by a general partner; a Sole Proprietorship by the proprietor; a Limited Liability Company by member or manager in accordance with the LLC's articles of organization as filed with the Secretary of State.

Applications by a State Agency must be signed by a person duly designated by the commissioner or other agency head. Applications by Municipalities (counties, cities, towns and villages) and Public Corporations must be signed by the chief executive officer, the head of a subordinate agency or department, or a person duly designated by the chief executive officer.

Construction or work contractors may serve as a contact/agent on behalf of the applicant, but cannot be identified as the applicant or prospective permittee should a permit be issued.

PROJECT / FACILITY LOCATION INFORMATION: If you are able to supply accurate project location coordinates, please do so. Location Coordinates are expressed in New York Transverse Mercator (NYTM) units (i.e., UTM Zone 18 expanded to encompass the entire state) based on the North American Datum 1983, or Latitude and Longitude. Coordinates may be obtained from DEC's online Environmental Resource Mapper (<a href="https://www.dec.ny.gov/animals/38801.html">www.dec.ny.gov/animals/38801.html</a>), using the Identify itool.

**PROJECT DESCRIPTION AND PURPOSE:** Provide a complete narrative description of the proposed work and its purpose. Attach additional page(s) if necessary.

#### **REQUIRED APPLICATION ATTACHMENTS**

Attach and submit the following to each involved Agency:

- 1) LOCATION MAP A US Geological Survey (USGS) Quadrangle Map, or equivalent identifying the project location. The map should include wetlands, seasonally wet streams and ditches. An acceptable location map may be obtained from DEC's online Environmental Resource Mapper (<a href="http://www.dec.ny.gov/animals/38801.html">http://www.dec.ny.gov/animals/38801.html</a>), using the Printer (<a href="http://www.dec.ny.gov/animals/">http://www.dec.ny.gov/animals/</a>
- 2) PROJECT PLANS A sketch plan view and cross-section drawn to scale with dimensions given, or engineering drawings showing location and extent of work. Note from which direction the photographs required in (3) are taken.

3) PHOTOGRAPHS - At least 3 color photographs, taken from multiple directions, which clearly depict the site of the proposed activity without snow cover. Include any existing structures on the site and the area surrounding the site. Indicate the time and date when taken.

#### **OTHER REQUIREMENTS**

If applying to State Agencies: State Environmental Quality Review Act regulation (SEQR), 6 NYCRR Part 617) is applicable (see <a href="https://www.dec.ny.gov/regs/4490.html">www.dec.ny.gov/regs/4490.html</a>) -

- a) If the project is an Unlisted Action, submit a completed Part 1 of a Short Environmental Assessment Form. \*
- b) If the project is a Type I Action, submit a completed Part 1 of a Full Environmental Assessment Form. \*

If applying to NYS DEC - Complete the Permission to Inspect Property Supplement \* to provide consent for DEC inspection. Failure to grant consent can be grounds for, and may result in, permit denial.

If applying to USACE/NYS DOS - If the project requires a federal permit and lies within or affects the Coastal Zone (see the DOS Coastal Area Maps at <a href="http://www.nyswaterfronts.com/maps\_regions.asp">http://www.nyswaterfronts.com/maps\_regions.asp</a>) submit a completed Federal Consistency Assessment Form (available at <a href="http://www.nyswaterfronts.com/consistency-federal.asp">www.nyswaterfronts.com/consistency-federal.asp</a>) to NYS DOS with a copy to USACE.

For USACE Section 404 Clean Water Act permits and specific Nationwide permits - a 401 Water Quality Certification must be obtained from NYS DEC.

For projects within the Adirondack Park – To determine permitting applicability, contact -

NYS Adirondack Park Agency, 1133 NYS Rte 86, PO Box 99, Ray Brook, NY 12977 (518) 891-4050 www.apa.state.ny.us

# SPECIAL SUPPLEMENTS AND REQUIREMENTS FOR SPECIFIC PERMIT APPLICATIONS

Applications for . . . must be accompanied by . . . . • Dams and Impoundment

Structures ......Supplement D-1 \*

Docks and Moorings......Supplement D-2 \*

• Water Supply ......Supplement W-1 \*

• Long Island Well ......Regional specific supplement \*

• Wild, Scenic and Recreational River Systems ......Supplement WSR-1 \*

• Incidental Take.....Supplement IT-1 \*

 Aquatic Vegetation, Aquatic ... Category specific form avail-Insect, and Fish Control able at NYS DEC offices and www.dec.ny.gov/chemical/8530.html . Submit applications to

the NYS DEC regional office, Attn: Bureau of Pesticides.

- USACE Section 404 Clean Water Act and DEC Freshwater Wetlands and DEC Tidal Wetlands ... Applications to disturb a wetland or waterway by placing fill or performing mechanized land clearing, ditching, channelization, dredging, or excavation activities should provide a discussion of practicable alternatives considered to avoid, minimize and/or mitigate the proposed project impacts. Particular justification should be given as to why the alternatives are not suitable.
- DEC Freshwater and Tidal Wetlands ... Applications fees are required. Refer to: <a href="https://www.dec.ny.gov/permits/65153.html">www.dec.ny.gov/permits/65153.html</a>
  - Forms are available at NYS DEC offices and at www.dec.ny.gov/permits/6222.html

#### **JOINT APPLICATION FORM INSTRUCTIONS - PAGE 2 OF 2**

#### SUBMISSION OF APPLICATION FORMS AND ATTACHMENTS

Separately mail the completed application to each involved Agency based on project location and permit(s) requested.

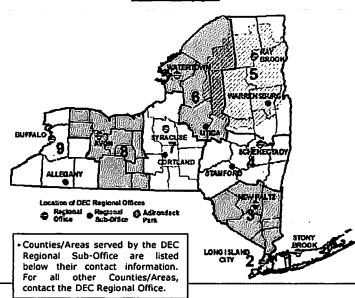
For DEC - Mail 3 copies of: this Application, any supplemental forms, and all required attachments.

For Other Agencies - Mail 1 copy of: this Application, any supplemental forms, and all required attachments.

Refer to each Agency's website for specifications on submitting documents on electronic media or via email.

#### **AGENCY CONTACT INFORMATION**

#### NYS Department of Environmental Conservation www.dec.ny.gov



#### **NYS DEC REGION 1**

Regional Permit Administrator SUNY @ Stony Brook 50 Circle Road Stony Brook, NY 11790-3409 (631) 444-0365 email: r1dep@gw.dec.state.ny.us

#### **NYS DEC REGION 2**

Regional Permit Administrator 1 Hunter's Point Plaza 47-40 21st Street Long Island City, NY 11101-5407 (718) 482-4997 email: r2dep@gw.dec.state.ny.us

#### **NYS DEC REGION 3**

Regional Permit Administrator 21 South Putt Corners Road New Paltz, NY 12561-1696 (845) 256-3054 email: r3dep@qw.dec.state.ny.us

#### **NYS DEC REGION 4**

Regional Permit Administrator 1130 North Westcott Road Schenectady, NY 12305-2014 (518) 357-2069

email: r4dep@qw.dec.state.nv.us

#### NYS DEC REGION 4 Sub-Office Regional Permit Administrator 65561 State Hwy 10 Stamford, NY 12167-9503

(607) 652-7741 email: <u>r4dep@gw.dec.state.ny.us</u>

 For Otsego, Delaware Counties, and Greene County towns within the NYC watershed

#### **NYS DEC REGION 5**

Regional Permit Administrator PO Box 296 1115 Route 86 Ray Brook, NY 12977-0296 (518) 897-1234

email: r5dep@gw.dec.state.ny.us

#### NYS DEC REGION 5 Sub-Office Regional Permit Administrator 232 Golf Course Rd Warrensburg, NY 12885-1172

(518) 623-1281 email: <u>r5dep@gw.dec.state.ny.us</u>

For Washington, Warren,
 Saratoga, Fulton Countles

#### NYS DEC REGION 6

Regional Permit Administrator 317 Washington Street Watertown, NY 13601-3787 (315) 785-2245 email: r6dep@gw.dec.state.ny.us

# NYS DEC REGION 6 Sub-Office

Regional Permit Administrator 207 Genesee Street Utica, NY 13501-3787 (315) 793-2555 email: r6dep@gw.dec.state.ny.us

For Herkimer, Oneida Counties

#### **NYS DEC REGION 7**

Regional Permit Administrator 615 Erie Blvd West Syracuse, NY 13204-2400 (315) 426-7438

email: r7dep@gw.dec.state.nv.us

#### NYS DEC REGION 7 Sub-Office

Regional Permit Administrator 1285 Fisher Avenue Cortland, NY 13045-1090 (607) 753-3095

email: r7dep@gw.dec.state.nv.us

 For Tompkins, Cortland, Broome, Chenango, Tioga Counties

#### **NYS DEC REGION 8**

Regional Permit Administrator 6274 E. Avon - Lima Road Avon, NY 14414-9519 (585) 226-5400

email: r8dep@gw.dec.state.ny.us

#### NYS DEC REGION 9

Regional Permit Administrator 270 Michigan Avenue Buffalo, NY 14203-2915 (716) 851-7165

email: r9dep@qw.dec.state.nv.us

#### NYS DEC REGION 9 Sub-Office

Regional Permit Administrator 182 East Union, Suite 3 Allegany, NY 14706-1328 (716) 372-0645 email: r9dep@gw.dec.state.ny.us

 For Allegany, Cattaraugus, Chautauqua Counties

#### **US Army Corps of Engineers**

www.usace.army.mil

For DEC Regions 1, 2 and 3 **US Army Corps of Engineers NY District**ATTN: Regulatory Branch

26 Federal Plaza, Room 1937 New York, NY 10278-0090

email: CENAN.PublicNotice@usace.army.mil

For DEC Regions 1, 2, Westchester County and Rockland County -

(917) 790-8511

For the other counties of DEC Region 3 - (917) 790-8411

For DEC Regions 4, 5
Department of the Army
ATTN: CENAN-OP-R
NY District, Corps of Engineers
1 Building 10, 3<sup>rd</sup> Floor
Watervliet, NY 12189-4000
(518) 266-6350 - Permits team
(518) 266-6360 - Compliance Team
email: cenan.rfo@usace.army.mil

For DEC Regions 6, 7, 8, 9
US Army Corps of Engineers
Buffalo District
ATTN: Regulatory Branch
1776 Niagara Street
Buffalo, NY 14207-3199
(716) 879-4330

email: LRB.Regulatory@usace.army.mil

Statewide

**NYS Department of State** 

Division of Coastal Resources Consistency Review Unit One Commerce Plaza 99 Washington Ave, Suite 1010 Albany, NY 12231-00001 (518) 474-6000 www.nyswaterfronts.com Statewide

NYS Office of General Services

Real Estate Development - Land Management Corning Tower, 26<sup>th</sup> Floor Empire State Plaza Albany, NY 12242-0001 (518) 474-2195 www.ogs.state.ny.us

# New York State Department of Environmental Conservation



#### PERMISSION TO INSPECT PROPERTY

By signing this permission form for submission with an application for a permit(s) to the Department of Environmental Conservation ("DEC"), the signer consents to inspection by DEC staff of the project site or facility for which a permit is sought and, to the extent necessary, areas adjacent to the project site or facility. This consent allows DEC staff to enter upon and pass through such property in order to inspect the project site or facility, without prior notice, between the hours of 7:00 a.m. and 7:00 p.m., Monday through Friday. If DEC staff should wish to conduct an inspection at any other times, DEC staff will so notify the applicant and will obtain a separate consent for such an inspection.

Inspections may take place as part of the application review prior to a decision to grant or deny the permit(s) sought. By signing this consent form, the signer agrees that this consent remains in effect as long as the application is pending, and is effective regardless of whether the signer, applicant or an agent is present at the time of the inspection. In the event that the project site or facility is posted with any form of "posted" or "keep out" notices, or fenced in with an unlocked gate, this permission authorizes DEC staff to disregard such notices or unlocked gates at the time of inspection.

The signer further agrees that during an inspection, DEC staff may, among other things, take measurements, may analyze physical characteristics of the site including, but not limited to, soils and vegetation (taking samples for analysis), and may make drawings and take photographs.

mit(s) sought by the application.	is grounds for, and may result (ii, demail of
Permission is granted for inspection of pro	operty located at the following address(es):
•	

By signing this form, I affirm under penalty of perjury that I am authorized to give consent to entry by DEC staff as described above. I understand that false statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.\*

Print Name and Title

Signature

Date

· owns fee title and is in possession of the property identified above;

· maintains possessory interest in the property through a lease, rental agreement or other legally binding agreement; or

<sup>\*</sup>The signer of this form must be an individual or authorized representative of a legal entity that:

is provided permission to act on behalf of an individual or legal entity possessing fee title or other possessory interest in the property for the purpose of consenting to inspection of such property.

#### 617.20

#### Appendix C

## State Environmental Quality Review

# SHORT ENVIRONMENTAL ASSESSMENT FORM For UNLISTED ACTIONS Only

PART I - PROJECT INFORMATION (To be completed by Applicant or Project Sponsor) 1. APPLICANT/SPONSOR 2 PROJECT NAME 3. PROJECT LOCATION: Municipality County 4. PRECISE LOCATION (Street address and road intersections, prominent landmarks, etc., or provide map) 5. PROPOSED ACTION IS: ☐ New Expansion Modification/alteration 6. DESCRIBE PROJECT BRIEFLY: 7. AMOUNT OF LAND AFFECTED: Initially Ultimately 8. WILL PROPOSED ACTION COMPLY WITH EXISTING ZONING OR OTHER EXISTING LAND USE RESTRICTIONS? ☐ No If No, describe briefly 9. WHAT IS PRESENT LAND USE IN VICINITY OF PROJECT? Residential Industrial Agriculture Park/Forest/Open Space Other Commercial Describe: DOES ACTION INVOLVE A PERMIT APPROVAL, OR FUNDING, NOW OR ULTIMATELY FROM ANY OTHER GOVERNMENTAL AGENCY 10. (FEDERAL, STATE OR LOCAL)? If Yes, list agency(s) name and permit/approvals: 11. DOES ANY ASPECT OF THE ACTION HAVE A CURRENTLY VALID PERMIT OR APPROVAL? | Yes No If Yes, list agency(s) name and permit/approvals: AS A RESULT OF PROPOSED ACTION WILL EXISTING PERMIT/APPROVAL REQUIRE MODIFICATION? 12. I CERTIFY THAT THE INFORMATION PROVIDED ABOVE IS TRUE TO THE BEST OF MY KNOWLEDGE Applicant/sponsor name: Signature:

If the action is in the Coastal Area, and you are a state agency, complete the Coastal Assessment Form before proceeding with this assessment

PART II	<ul> <li>IMPACT ASSESSMENT (To be completed by Lead Ag</li> </ul>	ency)
	ACTION EXCEED ANY TYPE I THRESHOLD IN 6 NYCRR, PART 617.4 fes No	If yes, coordinate the review process and use the FULL EAF.
declar	ACTION RECEIVE COORDINATED REVIEW AS PROVIDED FOR UNLIST ation may be superseded by another involved agency.  Yes No	TED ACTIONS IN 6 NYCRR, PART 617.6? If No, a negative
C1.	D ACTION RESULT IN ANY ADVERSE EFFECTS ASSOCIATED WITH TEXTS. Existing air quality, surface or groundwater quality or quantity, noise levels potential for erosion, drainage or flooding problems? Explain briefly:	
C2.	Aesthetic, agricultural, archaeological, historic, or other natural or cultural r	esources; or community or neighborhood character? Explain briefly:
C3.	Vegetation or fauna, fish, shellfish or wildlife species, significant habitats, c	r threatened or endangered species? Explain briefly:
C4. /	A community's existing plans or goals as officially adopted, or a change in use	or intensity of use of land or other natural resources? Explain briefly:
C5.	Growth, subsequent development, or related activities likely to be induced	by the proposed action? Explain briefly:
C6.	Long term, short term, cumulative, or other effects not identified in C1-C5?	Explain briefly:
C7.	Other impacts (including changes in use of either quantity or type of energ	/)? Explain briefly:
ENVI	THE PROJECT HAVE AN IMPACT ON THE ENVIRONMENTAL CHARAC RONMENTAL AREA (CEA)? Yes No If Yes, explain briefly:	TERISTICS THAT CAUSED THE ESTABLISHMENT OF A CRITICAL
	ERE, OR IS THERE LIKELY TO BE, CONTROVERSY RELATED TO POT Yes No If Yes, explain briefly:	ENTIAL ADVERSE ENVIRONMENTAL IMPACTS?
INSTI effect geogr suffici	DETERMINATION OF SIGNIFICANCE (To be completed by Agend RUCTIONS: For each adverse effect identified above, determine which should be assessed in connection with its (a) setting (i.e. urban or raphic scope; and (f) magnitude. If necessary, add attachments or itent detail to show that all relevant adverse impacts have been identified determination of significance must evaluate the potential impact of the control of the cont	ether it is substantial, large, important or otherwise significant. Each rural); (b) probability of occurring; (c) duration; (d) irreversibility; (e) reference supporting materials. Ensure that explanations contained and adequately addressed. If question D of Part II was checken
	Check this box if you have identified one or more potentially large or signific EAF and/or prepare a positive declaration.	ant adverse impacts which MAY occur. Then proceed directly to the FUL
	Check this box if you have determined, based on the information and analys NOT result in any significant adverse environmental impacts AND provide,	
	Name of Lead Agency	Date
<u> </u>	Print or Type Name of Responsible Officer in Lead Agency	Title of Responsible Officer
	Signature of Responsible Officer in Lead Agency	Signature of Preparer (If different from responsible officer)